

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Ronald E. SLOAN et al.	)	Group Art Unit: 3692
	)	
Application No. 09/930,786	)	Examiner: Nga B. Nguyen
	)	
Filed: August 15, 2001	)	
	)	Confirmation No. 3301
For: AUTOMATED FINANCIAL	)	
PORTFOLIO COACHING AND	)	
RISK MANAGEMENT SYSTEM	)	
(as amended)	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**STATEMENT UNDER 37 C.F.R. 3.73(b)**

Accenture LLP, a corporation, submits that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 014110, Frame 0606, or the assignment is being submitted for recordation concurrently herewith and a copy is attached.

**OR**

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a copy is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

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- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments of other documents in the chain of title are attached.

The undersigned is an attorney of record.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 18, 2009

By:   
Maura K. Moran  
Reg. No. 31,859